



Alternative Dispute Resolution Strategy

Win-Win Propositions for “Us vs. Them” Challenges

Common Challenges



Definitions matter! There are literally dozens of definitions of “dispute” so for purposes of this strategy we define a dispute as any cases where opposing parties face the perception or reality that one party “loses” if the other party “wins” which is zero-sum-game. Universal cases, matters, or situations include consensus vs. conflict, **agreement vs. disagreement**, settlement vs. litigation, or even corporate strategy disputes of investment vs. spending.

Most disputes between opposing parties are generally decided by policy or best practice to mitigate losses, avoid litigation, or to eliminate exposure to financial and nonfinancial risks. In the absence of prevalent policy or practices opposing parties often resort to adversarial or confrontation tactics and go for the win-lose (zero-sum-game) without considering **win-win (non-zero-sum-game) alternatives**.

Current Resolutions



The primary barrier to resolution is that there are few cost-effective (profitable) resolutions for key stakeholders or principal shareholders when it comes to reaching consensus regarding a financially-significant or performance-impacting consideration, decision, or direction.

Attempts at achieving collaborative middle ground are ineffective when expense/cost elements push the parties into defensive postures to avoid implications or inferences of preference, privilege, inequality, inequity, or social injustice to name a few of the **possible negative perceptions or outcomes**.

Alternative Resolutions

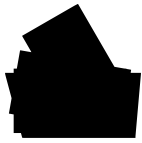


The Shared Technologies and Resources Solutions (STARS) approach addresses concerns and priorities for both sides of a dispute. The **non-zero-sum resolution** will not imply, or allow to be inferred, any perception, false narratives, or skewed optics assigning fault or guilt by any party or entity involved in the matter. **The strategy provides a cost-effective balanced business case for mutually-beneficial financial and operational results, outcomes, and impacts.**

The financial model is the proprietary STARS’s closed-loop SPV/P3 (special purpose vehicle/public-private partnership) structure which leverages expenses and resources to structure a revenue-neutral, revenue-generating, entity for an agreeable resolution of the matter and provide the components for ongoing control and risk management.

Screening and Initial Review					
Engage Any Party	Screen Action or Case	Case Assessment	Project Assessment	High-Level Strategy	Accept Case or Pass

Deliverables



Step Zero: Project Definition and Business Case Development, our proprietary process, will develop a market- and project-specific set of use cases, business cases, and program strategies and solutions as indicated by the market factors.

<i>Programs*</i>	<i>Strategies**</i>	<i>Solutions***</i>
Brownfield Remediation	Expense Sharing and Offsets	Essential Service Indexing
Public Works Compliance	Expense-Funded Capitalization	Capital Projects Funding
Climate / Environment	Project-Subsidized Resolution	Business Case Development
Conservation / Preservation	Dual-Bonding Funding	Regional Plans Execution
Sustainability	Tax-Free Conduit Financing	Special Purpose Vehicles
Social/Environmental Justice	Green Revenue Bonds	Special Purpose Governments
Coal Ash Remediation	Certificates of Participation	Sustainability Funds
Beneficial Use	Collaborative Project Portfolios	Public Benefits Conveyance
* Examples Only, Not Exhaustive	** Alternative Resolutions	*** Categorical, Not Exhaustive

Clients



Target clients for alternative resolution include parties in civil cases, administrative law cases such as federal enforcement actions, arbitration, tax delinquency, heirs' property disputes, and more.

Basically, any situation where there is significant cost to either party and the likelihood of a protracted process for resolution is a prime situation to **turn the projected costs and expenses into capitalization** investment for both parties with recurring revenue streams. Parties that are "battle weary" from decades of conflicting interests, agenda, and/or goals will have equity ownership in a new business.

Engagement



Anyone with standing in the case, such as opposing parties and impacted stakeholders, may proactively or reactively engage with STARS for a **free initial case assessment**. The assessment will provide a high-level strategy and business case based a set of solutions and a project portfolio that addresses all the goals and objectives of the resolution plan.

If the parties decide to move forward with the solutions and resolution a project-specific Letter-of-Intent (LOI) will be executed. A retainer fee is required for further solution sharing and/or consultations.

